# UNIVERSITY OF WISCONSIN – MADISON

## EXPORT CONTROL – BIOLOGICAL AGENT ASSESSMENT FORM

### PROJECT IDENTIFICATION

<table>
<thead>
<tr>
<th>Project #</th>
<th>Project Name</th>
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<tr>
<th>Biological Agent / Toxin</th>
<th>PI / PM</th>
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### EXPORT CONTROL ASSESSMENT

<table>
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<tr>
<th>TASK</th>
<th>RESPONSE</th>
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| Q1) Can the research results be published or disseminated without restrictions from the funding agency?  
Reference: Fundamental research  
http://edocket.access.gpo.gov/cfr_2010/janqtr/15cfr734.8.htm | □ Yes; proceed to question Q2  
□ No; proceed to question Q3 |
| Q2) Have the techniques and/or technology used in the research been published or will they be published (i.e., taught in university courses, released in publications or released in open presentations)?  
Reference: Published information and software  
http://edocket.access.gpo.gov/cfr_2010/janqtr/15cfr734.7.htm | □ Yes; proceed to question Q4  
□ No; proceed to question Q3 |
| Q3) If you answered “no” to Q.1 or Q.2, will information regarding the research including any novel technology or technique be disclosed to a foreign person?  
Reference: US Person / Foreign Person  
http://edocket.access.gpo.gov/cfr_2010/janqtr/15cfr772.1.htm  
http://edocket.access.gpo.gov/cfr_2010/aprqtr/22cfr120.15.htm  
http://edocket.access.gpo.gov/cfr_2010/aprqtr/22cfr120.16.htm | □ Yes; export license may be needed, contact POC*  
□ No; proceed to question Q4 |
| Q4) Do you plan on shipping the biological agent outside of the United States?  
Reference: Export  
http://edocket.access.gpo.gov/cfr_2010/janqtr/15cfr734.2.htm  
http://edocket.access.gpo.gov/cfr_2010/aprqtr/22cfr120.17.htm | □ Yes; export license may be needed, contact POC*  
□ No; proceed to question Q5 |
| Q5) Does this biological agent have increased virulence and/or circumvent detection methods or medical countermeasures?  
Reference: Category XIV(b)-Biological agents  
http://edocket.access.gpo.gov/cfr_2010/aprqtr/22cfr121.1.htm | □ Yes; export license may be needed, contact POC*  
□ No: DONE – NO LICENSE REQUIRED |

* POC – The Point of Contact for export control issues in your organization, or UW-Madison Export Control Officer

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**Authorization:**

Prepared By (PI/PM): ___________________________ Date: ___________________________

Reviewed By (POC): ___________________________ Date: ___________________________
**BIOLOGICAL AGENT ASSESSMENT – FLOW CHART**

**Q1)** Can the research results be published or disseminated without restrictions from the funding agency?

- **Yes**
- **No**

**Q2)** Have the techniques and/or technology used in the research been published or will they be published (i.e., taught in university courses, released in publications or released in open presentations)?

- **Yes**
- **No**

**Q3)** If you answered “no” to Q.1 or Q.2, will information regarding the research including any novel technology or technique be disclosed to a foreign person?

- **Yes**
- **No**

**Q4)** Do you plan on shipping the biological agent outside of the United States?

- **Yes**
- **No**

**Q5)** Does this biological agent have increased virulence and/or circumvent detection methods or medical countermeasures?

- **Yes**
- **No**

**NO LICENSE REQUIRED**

**LICENSE MAY BE NEEDED**