Frequently Asked Questions (FAQs)
WISPER Export Control Process

PROCESS

1. How do you intend to roll out these updates to campus and more specifically grant administrators/program managers? Answer: The Export Control staff will hold multiple training sessions at various campus locations (sessions will be offered for PI's and Grant Administrator's). RSP will be in attendance to help answer questions related to grants administration and WISPER. There also will be web support and an Export Controls office email account where you can send questions.

2. I have a number of projects that were proposed before the Export Control changes were implemented in WISPER. Will I need answer Compliance Question 8 and the Export Control questions if I receive awards for some of these projects? Answer: No. This process was designed to only impact those projects that are proposed after the WISPER changes are implemented on June 10, 2013. If you have any questions regarding projects that were proposed before the WISPER changes were implemented, please contact the Export Control staff at: exportcontrol@grad.wisc.edu

WISPER SCREENING TOOL

3. Will conflicting Export answers between the General Tab and the Project Tab be noted when we "submit to validate" the record? Answer: A “conflict” report tool is being built that will show instances where the answer to Compliance Question 8 on the General Tab is “Yes”, and one or both of the questions on the Projects Tab are not answered. Export Control staff will be handling these items outside of WISPER. This will not delay proposal submission or award setup.

4. When do I use the Export Control Approval? There is no requirement that the Export Control Approval be used during the assessment process. The Export Control Approval is provided as an additional tool for requesting assistance in assessing a project.

5. With regards to the approval type on the approval tab, are we able to use the "Export Control Assessment" to ask Tom, or someone else in his area, to look at the documents and help us with questions we might have? Or will we need to send an email to Export Control outside of the WISPER system to start a conversation with them? Answer: The Export Control approval in WISPER could be used anytime before the award is setup (generated or finalized). Basically, if you have question on how to answer a question, you could send an approval to the Export Control office or your Dean's office. After award setup, it is recommended to send an e-mail with your question to: exportcontrol@grad.wisc.edu

6. Will this process prevent me from being able to submit a proposal? Answer: No. Just like the other compliance questions, you must answer Compliance Question 8 before you can sign the WISPER record. However, Compliance Question 8 is a simple question
to answer, and, even if you don’t, there are mechanisms for submitting a proposal without signing the WISPER record.

7. **Will this process prevent me from being able to set up an award?** Answer: No. The process is designed so that setting up an award is not affected by how (or if) the two export control questions are answered.

**FULL ASSESSMENT FORM**

8. **How will I know if the PI needs to complete a Full Project Assessment for a specific project?** Answer: Full Export Control Assessment will be triggered when Compliance Question 8 on the General Tab and the two questions on the Projects Tab are all “Yes”. When this happens, the PI, Dean’s Office, Department and Export Control Office will receive an automatic notification that a Full Assessment is required. The Full Assessment happens outside of WISPER.

9. **What is Restricted Party Screening and why do we need to use it?** Answer: The federal government generates lists of persons and organizations with which it does not want us conducting business. These lists include people/organizations affiliated with terrorism, criminal, drug trafficking, nuclear proliferation and restricted export activities. Restricted Party Screening is a means to quickly check if any of the people/organizations involved in a project are on any of the government’s restricted party lists.